



# Small Filers Planning for the Transition to 404 Compliance

Wednesday, June 13, 2007 3:30 PM - 4:30 PM CDT

Webinar Registration

This Free Webinar will last 60 minutes. Please forward this invitation to your colleagues.

This Free Webinar will benefit SB-2 and 10KSB Officers and Directors and their staff, securities attorneys, auditors, service and solution providers who work on the company's SB-2 Registrations or 10KSB Filing projects.



Presenters are: Pete Nelson, CPA and Bob Benoit, CPA

Pete Nelson will cover a list of items that 10KSB filers must address as they transition to 404 compliance.

Pete is an Audit Partner at LBB & Associates Ltd., LLP, <http://www.lbbcpa.com/> a Registered Member - Public Company Accounting Oversight Board ("PCAOB"). Pete has over 20 years of diverse public accounting and industry experience. Pete specializes in audits of small business issuers in a broad range of industries. Pete's public accounting experience includes SEC filings S-1, SB-2, 10-Q, 10-QSB, 10-K, 10-KSB, and 20F, as well as other related public filing communications.

Bob Benoit, CPA, President Lord and Benoit, LLC, <http://www.section404.org> is a small public company SOX compliance expert who serves on the COSO Project Task Force.

Bob Benoit will overview the requirements of a SOX Section 404 management assessment and present a list of action steps that 10KSB filer teams can take to prepare their company to execute a SOX Compliance solution. Bob will also introduce concepts of using simple technologies such as Virtual SOX to make the process more affordable.

Webinar agenda:

- I. 10K elements that every team must address to comply with the additional reporting requirements that are imposed under the 10K regulatory regime.
- II. Overview Virtual SOX Compliance solution and cover action steps that filer teams can take to begin creating a SOX Compliance plan.
- III. Audience Poll Questions and Q&A Session

## System Requirements

PC-based attendees  
Required: Windows® 2000, XP Home, XP Pro, 2003 Server, Vista

Macintosh®-based attendees  
Required: Mac OS® X 10.3.9 (Panther®) or newer

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