

SOX IT (*in a nutshell*)

The IT Organization and Relationships,

- **Information Architecture,**
- **Strategic IT Plans,**
- **Human Resources,**
- **Information and Communication,**
- **Compliance with External Requirements,**
- **Risk Assessment,**
- **Quality Programs,**
- **Application Software,**
- **Infrastructure,**
- **Procedures,**
- **Systems,**
- **Changes,**
- **Service Levels,**
- **Third Party Service Levels,**
- **Performance and Capability,**
- **Continuous Service,**
- **Systems Security,**
- **Users,**
- **Configuration,**
- **Problem Management,**
- **Data Management,**
- **Facilities,**
- **Operations,**
- **Processes,**
- **Independent Assurance,**
- **Business Cycles**

The IT Internal Control process includes evaluating the effectiveness of:

- **Data**
- **Networking**
- **Security**
- **Applications**
- **Email**
- **Systems**
- **Access**
- **Configuration**
- **Asset Management**
- **Compliance Monitoring**
- **Escalation**
- **Policies & Procedures**
- **Reporting Process**