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Understanding the new NAIC (National Association of Insurance Commissioners) Model Regulation

This NAIC Regulation training is designed for insurance industry governance professionals gain an understanding of the practical implementation techniques for monitoring internal controls over financial reporting as required under the NAIC Regulation



Instructor: [Bob Benoit](#)
Product ID: 701361
Training Level:
 Intermediate to Advanced

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Description

Fact:

Current regulations require insurers with \$1 million or more in written premiums or 1,000 or more policyholders or certificate holders to file audited, annual statutory financial statements with state insurance departments. The National Association of Insurance Commissioners requires insurance companies to incorporate best-practice corporate governance standards and elements that are found within Sarbanes-Oxley. The NAIC hopes to achieve transparency, fraud prevention and restored public confidence in the insurance industry by raising its own level of self-governance with that of SOX. The revisions to the model regulation will become effective for years ending Dec. 31, 2010, pending adoption by individual states.

This course is designed to help insurance industry governance professionals gain an understanding of the practical implementation techniques for monitoring internal controls over financial reporting as required under the NAIC Model Regulation. By reviewing examples attendees should gain a more complete understanding of how evaluations might be applied in different situations. This material is designed to be useful to those seeking to apply internal control best practice techniques in non public insurance companies.

Areas Covered in the seminar:

- Practical Examples of Establishing a Foundation for Monitoring.
 - Tone from the Top
 - Organizational Structure
 - Baseline Understanding of Internal Control Effectiveness
- Practical Design and Execution of Monitoring Procedures.
 - Understand and Prioritize Risks
 - Design of Controls
 - Understand the Internal Control System and Identify Key Controls
 - Identify Persuasive Information
 - Implement Monitoring Procedures
- How to Assess and Report Results

Training Options Training Duration = 60 Min

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July 16, Thursday 10:00 AM PDT | 01:00 PM EDT

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Customer Testimonials

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Who Will Benefit:

This webinar will provide valuable assistance to all professions involved in the evaluation of internal controls over financial reporting including Controllers.

- CFOs
- CPAs
- Board members
- Audit Committee
- Corporate Governance Professionals
- Internal Audit
- General Counsel

Instructor Profile:

Bob Benoit, President of Lord & Benoit, a full service internal controls compliance firm (SOX consulting, NAIC Model Regulation, FDIC, Section 404, GAO, SOX IT) with clients worldwide. The presenter Bob Benoit was contributor to the development of this COSO Monitoring Guidance as a COSO Project Taskforce (the framework used for NAIC Model Regulation compliance). He has also served on the AICPA Peer Review Acceptance Board in MA for 10 years and is the author of the Lord & Benoit Reports, which have been referenced by all of the "Big 4" firms and nearly 200 legal, educational and trade journals around the world. Bob is the first evaluator to use the COSO Guidance for Smaller Public Companies, the inventor of Virtual SOX taught on the AICPA Technology website and research contributor to the SEC Subcommittee, SEC Concept Releases and SEC/PCAOB Internal Control Roundtables.

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